68/2163

47271-072

PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE Technology Center 2.00

In re Application of

Syed K. QURAISHI, et al.

Serial No.: 09/827,333

Filed: April 06, 2001

Group Art Unit: 2163

Examiner: not assigned

A RULES ENGINE HAVING USER ACTIVATED RULES OF SELECTABLE SCOPE For:

AND SELECTABLE OUTCOMES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, DC 20231

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

'09/827,333

Each English language reference was cited in a corresponding foreign application search report or office action and its relevance discussed therein. A copy of the foreign search report or office action is attached for the Examiner's information.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

Robert L. Price

Registration No. 22,685

600 13th Street, N.W. Washington, DC 20005-3096

(202) 756-8000 RLP:kap **Date: November 2, 2001**

Facsimile: (202) 756-8087

INFORMATION DISCLOSURE CITATION IN AN APPLICATION				ATTY. DOCKET NO. 47271-072		SERIAL NO. 09/827,333	
O. Tells				APPLICANT Syed K. QURAISHI, et al.			
(PTO-1449)				FILING DATE April 06, 2001		GROUP 2163	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	1	NAME	CLASS	SUBCLASS	FILING DATE
	5,101,353	3/31/92	Lupien et al.				
	5,819,238	10/6/98	Fernholz				
				<u> </u>		2	
					E.	MON 0.1 TOUT TOO	
					1 2	2 0	
						0 1	Ā.
						TON.	0
						3	
						8	
						ļ	
					-		
FOREIGN PATENT DOCUMENTS EXAMINER'S Translation							
INITIALS	PATENT NO.	DATE	co	UNTRY	CLASS	SUBCLASS	Yes No
							765
				•			
						-	
					<u> </u>		
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
V. Stamas, "Brokers may face mandatory job skills training", Public Finance Watch, 20 September 1993, Vol. 7, No. 35, page 9							
	J. Michaels, "Buy side champions alternative trading systems", Wall Street & Technology, June 1993, Vol. 10, No. 11, pages 38-48						
					-		
			-				
large for the same of							
EXAMINER				DATE CONSIDERED			